



**1 Name of Stock to Be Transferred:**

**2 AST Account Number:**  Enter the AST 10-digit numerical account number printed on all account mailings.

This form must be completed by the executor, administrator, personal representative, or survivor.

**3 Full Name of Person Completing this Form:**

I am the (check one):  Executor  Administrator  Personal Representative  Survivor

**4 Legal Address of Person Listed Above:**

**5 Full Name of Decedent:**

**6 Date of Death of Decedent:**

**7 Legal Residence of Decedent:**

**City of:**  **State of:**  **County of:**

**8** I, being duly sworn, depose and say that I am the executor, administrator, personal representative, or survivor (as checked above) of the Estate of the decedent, whose name, date of death and legal residence are listed above.

**Signature of person completing this form:**

This affidavit confirms that **American Stock Transfer & Trust Company, LLC (AST)** is the transfer agent that has permission to transfer or deliver securities registered in the name of, or owned by, said decedent at the time of his/her death.

**Official Notary Public Certification and Seal**

**9 Sworn before me on this date:**  
 Day of , 20

AFFIX NOTARY PUBLIC SEAL BELOW

**Signature of Notary Public:**

**Expiration of Commission:**

Please enter all of the information in the presence of a notary.

- 1 Full name of the stock to be transferred
- 2 AST 10-digit numerical account number printed on all account mailings
- 3 Full name of the executor, administrator, personal representative, or survivor **and** check the box next to the capacity
- 4 Legal address of the executor, administrator, personal representative, or survivor
- 5 Full name of the decedent
- 6 Date of death for the decedent
- 7 Legal residence of the decedent
- 8 Executor, administrator, personal representative, or survivor must sign his/her name
- 9 Official notary public to complete certification and affix seal

---

Once you have completed this form, please send it to AST along with any other appropriate documentation to the address below.

**American Stock Transfer & Trust Company, LLC**  
**Attention: Transfer Department**  
6201 15th Avenue  
Brooklyn, NY 11219

**IMPORTANT!** Steps 1-8 are to be completed by the executor, administrator, personal representative, or survivor in the presence of a notary. Step 9 should be completed by an official notary public.