Welcome to the National Research Council's

2006 Assessment of Research Doctorate Programs Admitted-to-Candidacy Doctoral Student Questionnaire

This questionnaire is part of the National Research Council's **2006** Assessment of Research Doctoral Programs. The National Research Council (NRC) is the operating arm of the National Academy of Sciences, an institution that conducts studies on issues relevant to questions of importance to educational, scientific and technological policy. Its reports are highly respected and have important impact on national and institutional policymakers.

This is the first NRC assessment of doctoral programs in over ten years. The study is an effort to gather data about doctoral programs nationwide and provide data that will be helpful to students, faculty, administrators and those who make educational policy.

For the first time, the assessment is including a survey of doctoral students. By completing this questionnaire, you provide information that will: (1) bring a student perspective to the study; (2) permit a statistical description of the advanced doctoral students in your field, and (3) help the NRC identify the multiple dimensions of successful graduate programs.

Further information about the assessment may be found at www7.nationalacademies.org/resdoc/ index.html. This site also has a list of Frequently Asked Questions and contains an Email link for submitting questions you might have about the study or the questionnaire.

As a graduate student, this is an important opportunity for you to be heard on issues related to graduate education, both in your program and in general. If you and your fellow students respond at a high rate, the results will provide important information about and to your program that will help facilitate change in graduate education at the program level.

Your responses to this online questionnaire will be entered directly into our database and treated as completely confidential by the NRC. Your individual answers will not be shared with faculty or administrators of your doctoral program. Any data, including race/ethnicity and gender, that is not currently available to the public will only be used in aggregated form that cannot be used to discern the identity of any survey participant in any report or presentation concerning the survey or in the public use file that will be made available to the public at the conclusion of this study. The link between your name and the data you provide will be removed prior to the publication of the public use file. In the case of questions with an open-ended response, comments will be reported only in an anonymous form that does not disclose the identity of the respondent.

Your participation is voluntary. You may refuse to answer any question or discontinue participation at any point. There is no personal risk to you in responding to this questionnaire since your identify will be known only to the National Research Council and Mathematica Policy Research. No information concerning respondents will be given to your institution. If you have any questions related to the study or this questionnaire, please send an email to **NRC-Assessment@mathematica-mpr.com**

Please click here to indicate your informed of	consent to participate in this study $oxdot$
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Part A. Education

The questions in this section are designed to collect information on your education and how you have been financially supported during your doctoral program.

A1.	Whe	n did you <u>first enroll</u> in this doctoral program?
		Month Year Year
A2.	Whe	n were you <u>admitted to candidacy</u> for the doctorate?
		Month Year Year
A2a.	selec	se record your <u>primary</u> area of specialization. Then, using the drop down list, please t the field that comes closest to describing or including your primary area of alization.
		ary Area pecialization:
		(Drop down Taxonomy list – including subfields)
A2b.	drop	se record any additional areas of specialization you currently have. Then, using the down list, please select the field that comes closest to describing or including that tional area of specialization.
		IF NONE: MARK THIS BOX:
	1.	Area of Specialization:
		(Drop down list of Taxonomy fields and subfields
	2.	Area of Specialization:
		(Drop down list of Taxonomy fields and subfields
	3.	Area of Specialization:
		(Drop down list of Taxonomy fields and subfields
A3.	Whe	n do you expect to be awarded your doctorate?
		Month Year Year

iore entering this doctorate program, had you aiready complete	d a master s de	gree iii.
	Mark Yes or N	o for Each
	Yes	No
Your current field? Another field - specify:		
hile studying for your doctorate, will you also receive any of the nt, concurrent, or combined degree program:	following as pa	ert of a
		No for Each
Professional master's degree (e.g., MBA, MPA, MPH, PSM)? Master's degree in your current doctoral program?		No
k A6 if any "yes" responses to A4 or A5c or A5d		
d you write a master's thesis?		
Yes No		
hile studying for the doctorate, will you receive a <u>certificate</u> in a	nother field or	skill area?
Yes No		
hile in your program, how many <u>research presentations</u> (includi ve you made at:	ing poster prese	entations)
If No	Number one: Enter Zero	
Research conferences on your campus (including other units of a multi-campus system)?		
At regional, national, or international meetings?		
	Your current field?	Yes Your current field?

•	Have you received <u>travel funds</u> for rese international meetings?	earch presentation	ons at regional, national, or
	Yes No (skip to A11)		
	Ask A10 if A9 = yes		
).	From which of the following sources had presentations? IF NOT KNOWN: MA	_	travel funds for research
	Mark up to three		
	National Fellowship Traineeship Professional Society Graduate program University or school/college Extramural grant Other – Specify source:		
	How many research publications have doctoral studies (include pieces accepted	ed for publicatio	n but not yet published)?
		Before Doctoral Studies	During Doctoral Studies
	a. Refereed articlesb. Book chaptersc. Book reviewsd. Books or edited volumes		
	If None: Mark Here		

A12. Which of the following have been your <u>largest</u> sources of financial support during your doctoral program?

	1 0
	Mark up to three sources
	National Fellowship/Scholarship Institutional Fellowship/Stipend Traineeship Teaching assistantship (TA) Research assistantship (RA) Other assistantship (e.g., general assistantship) Internship, clinical residency Personal earnings during graduate school (other than sources listed above) Loans (from any source) Personal savings Spouse's, partner's, or family earnings or savings Employer's reimbursement/assistance Foreign (non-U.S.) Other – Specify source:
	Ask A13 if any of the first 7 categories in A12 are checked
A 13.	If you had a fellowship, scholarship, traineeship, or assistantship, with what degree of support did it provide you?
	Mark one only
	Full Partial

Part B: Postgraduation Plans

The questions in this section are designed to collect information on your career plans and whether and how they have changed over time.

J		Mark One in E Primary	Each Column Secondary
b. c. d.	Research and development Teaching Management or administration Professional services to individuals Other – Specify goal:		
If N	No Secondary Career Goals: Mark this Box		
		Col	e in Each umn Secondary
a.	Research and development	Col. Primary	
b.	Research and development	Col. Primary	umn
b. c.	Teaching Management or administration	Col. Primary	umn
b. c. d.	Teaching	Col. Primary	umn
b. c. d. e.	Teaching Management or administration Professional services to individuals	Col. Primary	umn
b. c. d. e. <i>If N</i>	Teaching	Col. Primary	umn Secondary

B4. When you entered your doctoral program, for what type of employer did you believe you would work when you graduated?

	Mark one only
	EDUCATION
	U.S. 4-year college or university other than medical school
	U.S. medical school (including university-affiliated hospital or medical center)
	U.S. university-affiliated research institute
	U.S. community college or technical institute
	U.S. preschool, elementary, middle, secondary school or school system
	Non-U.S. educational institution
	GOVERNMENT (other than education institution)
	Foreign government
	U.S. federal government
	U.S. state government
	U.S. local government
	PRIVATE SECTOR (other than education institution)
	Not-for-profit institution
	U. S. based industry or business (for profit)
	Non-U.S. based industry or business (for profit)
	OTHER
	Self-employed
	Other – Specify sector:
В5.	At this time, for what type of employer do you expect to work when you graduate? Mark one only
B 5.	Mark one only
B5.	Mark one only EDUCATION
В5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system
B 5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution
В5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government PRIVATE SECTOR (other than education institution)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government Not-for-profit institution
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government U.S. local government Industry or business (for profit)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government Not-for-profit institution
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government U.S. local government Industry or business (for profit)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government U.S. local government U.S. local government Not-for-profit institution Industry or business (for profit) Non-U.S. based industry or business (for profit)
B5.	Mark one only EDUCATION U.S. 4-year college or university other than medical school U.S. medical school (including university-affiliated hospital or medical center) U.S. university-affiliated research institute U.S. community college or technical institute U.S. preschool, elementary, middle, secondary school or school system Non-U.S. educational institution GOVERNMENT (other than education institution) Foreign government U.S. federal government U.S. state government U.S. local government U.S. local government U.S. local government U.S. local government Not-for-profit institution Industry or business (for profit) Non-U.S. based industry or business (for profit)

Part C: Program Characteristics

We are interested in the characteristics of your program and your perception of the program's quality.

C1.		l your institution or graduate program provide triculated?	you with a	an <u>orientati</u>	<u>on</u> when yo	u
		Yes No				
C2.		nen you entered your doctoral program, did the pectations (e.g., a handbook) about academic pr		provide you	ı with <u>writt</u>	<u>en</u>
		Yes No				
C3.	pro	ring your doctoral program, have you or will yo gram-sponsored class or seminar) or informal (e truction, practice or professional development t	e.g., indivi	dual convers		
			Λ	Iark one for	each activit Both Formal	у
			Formal Only	Informal Only	and Informal	Neither
	a.	Oral communication and presentation skills?				
	b.	Speaking to nonacademic audiences?				
	С.	Writing proposals for funding?				
	d.	Preparing articles for publication?				
	e.	Working in collaborative groups?				
	f.	Conducting independent research/scholarship?				
	g. L	Project management?				
	h. i.	Research/professional ethics? Teaching/pedagogy?				
	j.	Supervision and evaluation?				
	j. k.	Preparation for job interviews?				
	17.	reparation for fine views:				

C4.	During your doctoral program have you, or do you, expect to:		
		Mark Yes or 1	No for each
	 a. Mentor or tutor a high school student?	Yes	No
C5.	Other than course grades, does your program provide an annual of assessment of your academic progress? (examples: a letter from the with your dissertation committee) Yes No (skip to C7)		
C6.	Ask C6 if C5 = Yes Are these assessments helpful? Yes No		
С7.	Have you begun your doctoral dissertation research? Yes No (skip to C10)		
C8.	Ask C8 if C7 = Yes Have you received timely feedback on this research? Yes No (skip to C10)		

	Ask C9 if C8 = Yes		
C9.	Has this feedback been helpful?		
	Yes No		
C10.	Are there one or more faculty members at your institution who either in your program or external to it?	om you consid	er as mentors
	• A mentor is an individual from whom you seek advice about y development or other matters of concern to you as a graduate		, career
		Mark Yes or	No for each
		Yes	No
	a. I have a mentor in my programb. I have a mentor external to my program		
C11.	Do you have access to career advice?		
	Yes No (skip to C16)		
C12.	Ask C12 if C11 = Yes Have you taken advantage of the opportunity for career advice	2?	
	Yes No (skip to C16)		
C13.	Ask C13 and C14 if C12 = Yes Who has provided the advice?		
	Mark all that apply		
	An individual who serves as both advisor and mentor Advisor Mentor Graduate program director/coordinator Program staff University-wide career office Other – Specify who advised you:		

C14.	Does the advice cover a variety of employment academic institutions)?	t sectors (e.g.,	employr	nent outsid	le of	
	Yes No Don't Know					
C15.	Which source of career advice did you find me	ost helpful?				
	Mark one only	_ •				
	An individual who serves as both advi Advisor Mentor Graduate program director/coordinato Program staff University-wide career office Other – Specify most helpful source:					
C16.	On a scale of 1 to 5 where 1 is distant and 5 is overall relationship with:	interactive, ho	w would	l you chara	acterize	your
			Mark or	ne for each	category	
		Highly Interactive, Supportive		Neutral		Distant, Antagonistic or Hostile
	a. your faculty advisor?b. the faculty in your program?	5	4	3	2	1
C17.	On a scale of 1 to 5, how supportive are stude	ıts in your pro	gram of	one anoth	er?	
	Mark one only					
	5 Very supportive 4 3 Somewhat supportive 2 1 Not supportive					
C18.	Does your program encourage students to inte	ract with facu	lty outsi	ide of your	prograi	n?
	Yes No					

	inking about your doctoral program, how satisfied	•		
		Mark of Very Satisfied	ne for each car Somewhat Satisfied	Not Satisfied
a. b. c. d. e.	Teaching by the faculty? The dissertation supervision? Your research experience in the program? Your program's curriculum? The <u>overall</u> quality of the program?			
Но	w much do you feel you have benefited from the:			
		Mark	one for each c	
		A Lot	Some	Not At All
a. b.	Intellectual environment of your program?Intellectual environment of your institution?			
	w satisfied are you with the quality of program-spial interaction of students with faculty and with o			ed to promo
	Very satisfied Somewhat satisfied Not satisfied			
Но	w much do you feel you belong to your program?			
	A lot Some Not at all			
T.,	the space below, please provide any additional cor	nments you	would like to	make abo

Part D: Resources

D2.

We are interested in your perception of the adequacy of the resources available to you for your graduate work and dissertation research.

D1. Thinking about your graduate education and dissertation research, please rate the adequacy of the support that has been available to you in each of the following areas:

Mark one for each category Not Don't Applicable Excellent Good Fair Poor Know Computer resources?..... b. Other research, laboratory, clinical or studio facilities? Library resources?..... d. Your on campus personal work space? e. Space available for social interaction among students in your program (e.g., coffee nook, lunch room)? University-provided housing or housing support? University-provided child care facilities or child care support? ••••• h. University recreational/athletic facilities? Healthcare and/or health services provided by your program or university? In the space below, please provide any additional comments you would like to make about program or university resources available to you:

Part E:	Background Information		
E1.	Are you:		
	Male Female		
E2.	What is your marital status?		
	Mark one only		
	Married Living in a marriage-like relationship Widowed Divorced Separated Never married		
E3.	Not including yourself or your spouse/partner, how many <u>dependents</u> do how many others receive at least one half of their <u>financial</u> support from <i>If No Dependents: Mark this box:</i>		—that is
	Number		
	a. 5 years of age or younger b. 6 to 18 years		
E4.	Including children, elderly parents or others, as appropriate, for how maa primary caregiver?	ny people	are you
	Number:		
E5.	What is the highest educational attainment of your mother and father (o	r guardian	ı)?
		Mark one	•
	To a show high (a condense about on decision	Mother	Father
	a. Less than high/secondary school graduationb. High/secondary school graduate		
	c. Some colleged. Bachelor's degree		
	e. Master's degree (e.g., MA, MS, MBS, MSW, etc.)		
	f. Professional degree (e.g., JD, LLB, D.Min, MD, DDS, etc.) g. Doctoral degree		
	h. Not applicable		

E6.	In what year were you born?		
	Year of Birth:		
E7.	What is your citizenship status?		
	Mark one only		
	U.S. Citizen Since birth Naturalized		
	Non-U.S. Citizen With a Permanent U.S. Resident Visa ("Green Card") With a Temporary U.S. Visa		
E8.	Are you Hispanic (or Latino)?		
	Yes No (skip to E10)		
E9.	Which of the following best describes your Hispanic origin or descent?		
	Mark one only		
	Mexican or Chicano Puerto Rican Cuban Other Hispanic – Specify Hispanic descent:		
			
E10.	What is your racial background?		
	Mark all that apply		
	American Indian or Alaska Native Native Hawaiian or other Pacific Islander Asian Black or African-American White		

Thank you for your time!

Admitted to Candidacy Student Questionnaire Question Rationale

General Rationale for Questionnaire

The data collected from the student questionnaire will provide important information for prospective students seeking to compare programs within a field; academic administrators seeking to examine program quality within a field, within an institution, or across institutions; and education policy researchers seeking to explore changes or potential changes in doctoral education and their implications.

Since this is the first time a student questionnaire has been administered as part of the Assessment of Doctoral Programs, its administration will be limited to five fields: English, economics, chemical engineering, physics, and neuroscience/neurobiology.

Part A. Education

The questions in this section are designed to collect information on your area of research, your educational progress and financial support.

Time to Degree: Questions 1-3 obtain data on when you enrolled, what your research specialty is, when you were admitted to candidacy and when you expect to complete. In combination with completion data provided by programs, these data will provide a picture of how students progress through their programs.

Post-Baccalaureate Credentials: Questions 4-8 obtain data on the master's and other degrees and certificates you may have obtained before or en route to the doctorate. This information provides a fuller picture of the post-baccalaureate credentials that students in a given program obtain in order to matriculate into a program or to prepare themselves for their career.

Research Opportunity: Questions 9-10 obtain data on the number of research publications you may have written and presentations given. These data provide an indication of the research experiences that students obtain in a program and offer an indicator of the extent to which students are encouraged to develop their own research interests and skills

Financial Support: Questions 11-13 obtain information on the level and type of financial support that students in a program have. This information, in combination with other data on the program and institutional questionnaires, will provide valuable information on financial support.

Part B: Postgraduation Plans

The questions in this section are designed to collect information on the career plans and goals of doctoral students and whether and how they have changed over time.

Career Goals: Questions 1-2 obtain data on career goals both when the respondents entered the program and now. Similarly, questions 4-5 obtain data on the type of employer the respondents expected to work for when they entered their program and now. These questions will provide a picture of the kinds of career goals students in different programs have and how they change over time.

Faculty Support for Career Goals: Question 3 is designed to obtain information on how supportive faculty are of students who seek a variety of career aspirations, particularly those outside of academia.

Part C: Program Characteristics

This section obtains data on program characteristics and the respondent's perception of program quality.

Career Skills: Numerous reports, beginning with the COSEPUP's *Reshaping the Graduate Education of Scientists and Engineers* (1995), have advocated that graduate students learn a variety of career skills in addition to the substance of their discipline. Question 1 will collect data on the opportunity to acquire written and oral communication skills, proposal writing, teamwork, independent research, project management, ethics, pedagogy, and others. Question 2 focuses more specifically on opportunities to acquire teaching skills and experience.

Academic Progress: Questions 3-8 and 14 collect data on how students acquire information about the expectations of their program for academic progress and the kinds and quality of feedback on their progress that they receive.

Mentoring and Career Counseling: The availability of a mentor has been identified as an important key to success in graduate education. Question 9 asks whether respondents have a faculty member they consider a mentor. The availability of career advice—particularly advice that covers the range of potential employment sectors is important potentially for both student retention and career preparation. Questions 10-13 obtain data on the availability and source of career advice for doctoral students. Question 15 also asks respondents about the quality of the relationships they have with their advisors.

Social Integration: Barbara Lovitts' book, *Leaving the Ivy Hall*, identified the degree to which a student feels part of a department as a critical factor in determining whether a student completes a doctoral program. Questions C15, C16, C17, C18, C20, C21, and D1 collect data on the degree to which students feel supported by faculty and peers, have opportunities to interact with faculty and students, and the quality of the interaction.

Program Quality: Questions 19-24 provide respondents with an opportunity to provide their perceptions of program quality (curriculum, research experience, faculty teaching ability, dissertation supervision, and intellectual environment).

Part D: Resources

This section collects data on respondent perceptions of the adequacy of the resources and benefits available for doctoral students.

Education and Research Resources: The availability of adequate resources is important to both the speed and quality of a student's academic progress. Questions 1-4 collect data on respondents' perceptions of the resources available (from the institution or program) to support their education and research. They ask for perceptions of the adequacy of computer resources, research, laboratory, or studio facilities, library resources, and on-campus work-space.

Social Integration: As noted above, the degree to which a student feels part of a department as a critical factor in determining whether a student completes a doctoral program. Question D5, along with other questions, collects data on opportunities for social interaction.

Quality of Life: In addition to financial support and health care benefits, support for doctoral students may also include provision of housing or housing assistance, provision of child care or financial support for child care, and recreational facilities. These pieces of the support package a doctoral student can expect—particularly students with children—may affect the ability of students to matriculate, complete in a timely manner, or complete at all. Questions 6-8 collect data on respondent perceptions of these benefits.

Part E: Background Information

The information collected in this section of the questionnaire will allow analysts to examine the comparative demographics of programs, and also examine how the answers to questions in Parts A-D of the questionnaire may vary across such dimensions as age, gender, race/ethnicity, citizenship status, family background, marital status, and responsibility for dependents. The participation in doctoral education of students from a variety of backgrounds is important to the academic enterprise, the conduct of research, and society in general, so understanding how doctoral education works for students across groups will provide the opportunity to evaluate success to date and areas where further progress is necessary.